



Docket No.: 291958162US Client Ref No. P98-0001

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Ritzdorf et al.

Application No.: 09/018,783

Confirmation No.: 1242

Filed: February 4, 1998

Art Unit: 2811

For: METHOD FOR FILLING RECESSED

MICRO-STRUCTURES WITH

METALLIZATION IN THE PRODUCTION OF A MICROELECTRONIC DEVICE

Examiner: O. Nadav

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

UGY 2372528 BABRANA1 83553592 SS318783 G1 FG:1828 189.63 CD

Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed more than three months after the U.S. filing date, OR more than three months after the date of entry of the national stage of a PCT application, AND after the mailing date of the first Office Action on the merits,

## Express Mail No. EV522673135US

whichever occurs first, but before the mailing date of a Final Office Action or Notice of Allowance (37 CFR 1.97(c)).

Applicant has not submitted copies of each cited U.S. patent and U.S. patent application as required by 37 CFR 1.98(a)(2)(i), amended October 2004, as the U.S. Patent and Trademark Office has waived this requirement for all U.S. patent applications. Applicant submits herewith copies of foreign and non-patents in accordance with 37 CFR 1.98(a)(2).

Our check in the amount of \$180.00 covering the fee set forth in 37 CFR 1.17(p) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0665, under Order No. 291958162US.

Dated: 17 May 2000

Respectfully submitted,

Paul T. Parker

Registration No.: 38,264

PERKINS COIE LLP

P.O. Box 1247

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Express Mail No. EV522673135US

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PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/B/PTO 09/018,783-Conf. #1242 Application Number INFORMATION DISCLOSURE Filing Date February 4, 1998 STATEMENT BY APPLICANT First Named Inventor Thomas L. Ritzdorf 2811 Art Unit (Use as many sheets as necessary) O. Nadav **Examiner Name** of 1 291958162US Sheet Attorney Docket Number

	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-6,391,166	05-21-2002	Wang		

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> ( <i>ff known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵		
		JP-03-103840	11-04-1992	Uemura		1		
		JP-59-150094	08-28-1984	Teichiku KK		1		

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>		
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



Docket No.: 291958162US Client Ref No. P98-0001 (PATENT)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Ritzdorf et al.

Application No.: 09/018,783

Confirmation No.: 1242

Filed: February 4, 1998

Art Unit: 2811

For: METHOD FOR FILLING RECESSED MICRO-

STRUCTURES WITH METALLIZATION IN THE PRODUCTION OF A MICROELECTRONIC

DEVICE

Examiner: O. Nadav

## REQUEST FOR PROCESSING OF PREVIOUSLY FILED INFORMATION DISCLOSURE STATEMENTS

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants' previously filed Information Disclosure Statements on August 12, 2002 and December 31, 2002. To date applicants' have not received a copy of the Examiners initialed copies. Applicants' respectfully request that the Examiner initial the references listed on the enclosed form PTO/SB08, and request that these submissions be made part of the official record.

Dated: 17 May 2006

Respectfully submitted,

Paul T. Parker

Registration No.: 38,264

PERKINS COIE LLP

P.O. Box 1247

Seattle, Washington 98111-1247

(206) 359-8000

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Attorney for Applicant

MAY 1 7 2006

Attorney Docket No. 29195-8162U8 Semitool Ref No. P98-2001

eby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as st Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 10231, on:

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Thomas L. Ritzdorf et al.

09/018,783 APPLICATION No.:

FILED:

February 4, 1998

FOR: METHOD FOR FILLING RECESSED

**MICRO-STRUCTURES WITH** METALLIZATION IN THE PRODUCTION

OF A MICROELECTRONIC DEVICE

Thomas J. Magee EXAMINER:

**ART UNIT:** 2811

CONF. NO: 1242

## Supplemental Information Disclosure Statement After First Office Action but Before Final Action or Notice of Allowance - 37 CFR 1.97(c)

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

#### 1. Timing of Submission

The information transmitted herewith is being filed after three months of the filing date of this application or after the mailing date of the first Office action on the merits, whichever occurred last, but before the mailing date of either a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, whichever occurs first. The references listed on the enclosed Form 1449 may be material to the examination of this application; the Examiner is requested to make them of record in the application.

#### Cited Information 2.

Copies of	the fo	llowing	references	are end	:losed

. All cited references Ø

References marked by asterisks\_33N3N3H3HNI ONY 

The following: П

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	No. :					
		All cited references References marked by asterisks The following:				
	The following references are not in English. For each such reference, the undersigned has enclosed: (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference; (iii) a copy of a reference which appears to be an English-language counterpart; or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.					
		All cited references References marked by ampersands The following:				
Effect	t of Info	ormation Disclosure Statement (37 CFR 1.97(h))				
This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the cited information is, or is considered to be, material to patentability. In addition, applicant does not admit that any enclosed item of information constitutes prior art to the subject invention and specifically reserves the right to demonstrate that any such reference is not prior art.						
Fee F	Paymer	nt (37 CFR 1.97(c)) or Certification (37 CFR 1.97(e))				
	Appli	cant elects to pay the fee under 37 CFR 1.17(p) \$180.00.				
	$\boxtimes$	Check enclosed for \$180.00.				
	⊠	Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-0665.				
Pater	nt Term	Adjustment (37 CFR 1.704(d))				
	The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any					

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5.

individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. §1.704(d).

Respectfully submitted,

Perkins Coie LLP

Date: 31 Dec 02

Edward S. Hotchkiss Registration No. 33,904

## **Correspondence Address:**

Customer No. 25096
Perkins Coie LLP
P.O. Box 1247
Seattle, Washington 98111-1247
(206) 583-8888

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT Form PTO-1449 (Modified) (Use several sheets if necessary)

	COMPLETE IF KNOWN
Application Number	09/018,783
Confirmation Number	1242
Filing Date	February 4, 1998
First Named Inventor	Thomas L. Ritzdorf
Group Art Unit	2811
Examiner Name	Thomas J. Magee
Attorney Docket No.	20105-8162US

Sheet	1	of	1

. 77	1000	hi/		U.S. PATENT DOCUMENTS			_
MAY 1 7	ني ا		ication ind Code if known)	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	s,
		3,658,663		Fukanuma et al.	04/25/72		
		3,716,462		Jensen	02/13/73	76 no A	
		3,878,066		Dettke et al.	04/15/75	TREE	
		4,100,054		DuRocher	07/11/78	ENY-	
		4,385,978		Prusak	05/31/83	CEIV W+5 PY	
•		4,576,689		Makkaev et al.	03/18/86	REA PE	
•		4,959,278		Shimauchi et al.	09/25/90	PPE B 3	
		5,115,430		Hahne et al.	05/19/92	8 ALS	
		5,151,168		Gilton et al.	09/29/92		
		5,209,817		Ahmad et al.	05/11/93	TECH	
		5,372,848		Blackwell et al.	12/13/94	RECE JAN-	
		5,472,502		Batchelder	12/05/95	JAN -	
•		5,516,416		Canaperi et al.	05/14/96	A CEI	
		5,549,808		Farooq et al.	08/27/96	ENTER	
		5,639,316		Cabral, Jr. et al.	06/17/97	2800	
		5,882,498		Dubin et al.	03/16/99	00	
		5,932,077		Reynolds	08/03/99		
		6,184,121		Buchwalter et al.	02/06/01		
		6,184,137		Ding et al.	02/06/01		
			F	OREIGN PATENT DOCUMENTS			_
Examiner Initial	Cite No.	Foreign Patent or Ap	Kind Coo		Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines, Where Relevant Figures Appear	
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EXAMINER		DATE CONSIDERED
*EXAMINER:	Initial if reference considered, whether or not criteria is in confor	mance with MPEP 609. Draw line through citation if not in conformance and not
	considered. Include copy of this form with next communication	to application(s).

OFF		
MAY 17	1 2006 88 \	Attorney Docket No. 291958162US
	hereby certify that this correspondence is bei	ng deposited with the U.S. Postal Service with sufficient postage as First
& TRADENA		nissioner for Patents, Washington, D.C., 20231, on:
OIP	S Date: 12/12/02	By: Villand of Hymber 9/101
	AN CANCELLE	PATENT VI
AUG 1 9	IN THE UNITED STA	TES PATENT AND TRADEMARK OFFICE
ARE TRADE		EXAMINER: DEVAN M. COLLINS 30 ART UNIT: 2823 CONFIRMATION NO.: 1242
	THOMAS L. RITZDORF ET AL.	ART UNIT: 2823
	APPLICATION No.: 09/018,783	Confirmation No.: 1242
	FILED: FEBRUARY 4, 1998	, 28
•	FOR: METHOD FOR FILLING RECE MICRO-STRUCTURES WITH METALLIZATION IN THE PRODUCTION OF A MICROELECTRONIC DEVICE	
	•	re Statement After First Office Action but or Notice of Allowance – 37 CFR 1.97(c)
	Commissioner for Patents Washington, D.C. 20231	
	Sir:	
	1. <u>Timing of Submission</u>	
	this application or after the whichever occurred last, but CFR 1.113 or a Notice of Alle	erewith is being filed after three months of the filing date of mailing date of the first Office action on the merits, before the mailing date of either a final action under 37 owance under 37 CFR 1.311, whichever occurs first. The enclosed Form PTO/SB/08A may be material to the

references listed on the enclosed Form PTO/SB/08A may be material to the examination of this application; the Examiner is requested to make them of record in the application.

#### 2. Cited Information

•		
	☒	Copies of the following references are enclosed:
•		<ul><li>□ All cited references</li><li>□ References marked by asterisks</li><li>□ The following:</li></ul>
08/21/2002 RHEBRAHT	0000008	09018783
)1 FC:126		180.00 OP

[/IDS-after non-final O-A - Jan2002.doc]

	Copie	s of the following references can be found in parent application Ser. No.				
		All cited references References marked by asterisks The following:				
	The following references are not in English. For each such reference, the undersigned has enclosed: (i) a translation of the reference; (ii) a copy of a communication from a foreign patent office or International Searching Authority citing the reference; (iii) a copy of a reference which appears to be an English-language counterpart; or (iv) an English-language abstract for the reference prepared by a third party. Applicant has not verified that the translation, English-language counterpart or third-party abstract is an accurate representation of the teachings of the non-English reference, though, and reserves the right to demonstrate otherwise.					
		All cited references References marked by ampersands The following:				
<u>Effect</u>	of Info	rmation Disclosure Statement (37 CFR 1.97(h))				
(i) a s applic third p be, m item reser	earch fraction dearties attended in the control of	tion Disclosure Statement is not to be construed as a representation that: has been made; (ii) additional information material to the examination of this oes not exist; (iii) the information, protocols, results and the like reported by are accurate or enabling; or (iv) the cited information is, or is considered to to patentability. In addition, applicant does not admit that any enclosed formation constitutes prior art to the subject invention and specifically right to demonstrate that any such reference is not prior art.				
<u>Fee F</u>	<u>Paymen</u>	t (37 CFR 1.97(c)) or Certification (37 CFR 1.97(e))				
☒	Applio	cant elects to pay the fee under 37 CFR 1.17(p) \$180.00.				
		Check enclosed for \$180.00 Please charge the above fee(s) to Deposit Account No. 50-0665 this paper is provided in triplicate.				
		cant submits that no fee is due in light of the following certification under 37 1.97(e) (check only one):				
		In accordance with 37 CFR 1.97(e)(1), the undersigned hereby states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to this filing of this statement; or				
		In accordance with 37 CFR 1.97(e)(2), the undersigned hereby states that no item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to the knowledge of the person signing the certification				

3.

4.

after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c), more than three months prior to the filing of this statement.

Please charge any underpayment for timely filing of this paper to Deposit Account No. 50-0665.

## 5. Patent Term Adjustment (37 CFR 1.704(d))

The undersigned states that each item of information submitted herewith was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 C.F.R. §1.56(c) more than thirty days prior to the filing of this statement. 37 C.F.R. §1.704(d).

Perkins Cole LLP

Paul T. Parker

Registration No. 38,264

Respectfully submitted,

Date: 8/12/2002

## Correspondence Address:

Customer No. 25096 Phone: (206) 583-8888

# ANCELLED INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet

Form PTO-1449 (Modified) (Use several sheets if necessary)

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Application Number	09/018,783	_		
Confirmation Number	1242	_		
Filing Date	February 4, 1998	_		
First Named Inventor	Thomas L. Ritzdorf	_		
Group Art Unit	2823			
Examiner Name	Deven M. Collins			
Attorney Docket No.	291958162US			

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1 7 200 Examiner Initials	Jught No		S. Patent or Ap	plication  Kind Code (if known)	Name of Patentee or Inventor of Cited Document	Date of Publication or Filing Date of Cited Document	Pages, Columns, Lines Where Relevant Figures Appear	S,
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	-	+				<del> </del>		
Examiner	Cite		eign Patent or a	Application Kind Cod		Date of Publication or Filing Date of Cited	Pages, Columns, Lines, Where Relevant	
Initial	No.	Office	NUMBER	(if know	n) of Cited Document	Document	Figures Appear	T
					RT-NON PATENT LITERATURE		A11 - AA - 11 -	
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume issue number(s), publisher, city and/or country where published.						
		AHN, E. C. et al. "Adhesion Reliability of Cu-Cr Alloy Films to Polyimide" Materials Research Society Symposium Proceedings, 1996, Vol. 427, pp. 141-145, Materials Research Society.						
		ALERS, G. B. et al. "Trade-off between reliability and post-CMP defects during recrystallization anneal for copper damascene interconnects" IEEE International Reliability Physics Symposium, 2001, pp. 350-354.						
		GLADKIKH, A. et al. "Activation Energy of Electromigration in Copper Thin Film Conductor Lines"  Materials Research Society Symposium Proceedings, 1996, Vol. 427, pp. 121-126, Materials Research Society.						
		KONONENKO, O. V. ct al. "Electromigration In Submicron Wide Copper Lines" Materials Research Society Symposium Proceedings, 1996, Vol. 427, pp. 127-132, Materials Research Society.						
•		MEI, Y. et al. "Thermal Stability and Interaction Between SIOF and Cu Film" Materials Research Society Symposium Proceedings, 1996, Vol. 427, pp. 433-439, Materials Research Society.						
		RUSSELL, S. W. et al. "The Effect of Copper on the Titanium-Silicon Dioxide Reaction and the Implications for Self-Encapsulating, Self-Adhering Metallization Lines", Materials Research Society Symposium Proceedings, 1992, Vol. 260, pp. 763-768, Materials Research Society, Pittsburgh, PA.						

EXAMINER		DATE CONSIDERED				
*EXAMINER:	Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not					
	considered. Include copy of this form with next communication to application(s).					